

Account Details Addition / Modification / Deletion Request Form

PRABHAT FINANCIAL SERVICES LIMITED

SEBI REGN.NO. : IN-DP-CDSL-216-2003 DP ID 12031400
Corp. office : 205, Navjeevan Complex, 29 Station Road, Jaipur- 302 006 (Rajasthan)
Phone : +91-0141-4162041-44 Fax: +91-0141-4162050
E-mail : pfsindia@hotmail.com, pfsldp@hotmail.com Website : www.pfsindia.co.in
Regd. Office : "Satyam" 4-276, Parwati Bagla Road, Kanpur-208 002 (U.P.) Phone : +91-0512-3073793
E-mail for Investors Grievance : pfsindia@yahoo.com

Application No.		Date	D	D	M	M	Y	Y	Y	Y
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Please fill all the details in Block Letters in English

DP ID	1	2	0	3	1	4	0	0	Client ID										
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Account Holder's Details	
Name of First / Sole Holder	
Name of Second Holder	
Name of Third Holder	

I/We request to carry out the change of **address / signature in the demat account**.

I/We request to carry out the change of **address / signature in the KRA and demat account**.

I/We request you to make the following additions / modifications / deletions to my/our account in your records.

DETAILS (Please specify change of address, bank details, telephone number etc.)	Addition / Modification / Deletion (Please specify)	Existing Details	New Details

Attach an Annexure (with signature(s)) if the space above is found insufficient.

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature			

DP Stamp and Signature

AUTHORISATION FOR ELECTRONIC CONTRACT NOTES / STATEMENTS

To,

PRABHAT FINANCIAL SERVICES LIMITED

Regd. Office :“Satyam”, 4/276, Parwati Bagla Road,
KANPUR-208 002 (U.P.)
Corporate Office : 205, Navjeevan Complex,
29 Station Road, JAIPUR -302 006 (Raj.)

I / We have been / shall be dealing through you as my / our broker on the Capital Market and / or Futures & Options Segments / Currency Derivative Segments. As my/our broker i.e. agent. I / We direct and authorize you to carry out trading / dealings on my / our behalf per instructions given below.

I / We understand that, I / we have the option to receive the contract notes, account statements / statement of securities physical form or electronic form. In pursuance of the same, I / we hereby opt for receipt of contract notes, account statements / statement of securities in electronic form. I / We understand that for the above purpose, you are required to take from the client "an appropriate email account" for you to send the electronic contract notes and account statements. Accordingly, please take the following email account / email id on your record for sending the contract notes to me/us.

.....
.....

I / We agree not to hold you responsible for late / non-receipt of contract notes and account statements sent in electronic form and any other communication for any reason including but not limited to failure of email servers, loss of connectivity, email in transit etc. I / we agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of contract notes to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me / us on account of any non-receipt / delayed receipt for any reason whatsoever.

I / We also agree that non-receipt of bounced mail notification by you shall amount to delivery at my/our email account(s) / email id(s).

In terms of the agreement entered into between us, in Digital form, Digital contract issued by your as per the terms and conditions specified shall be binding on me. I/W e undertake to check the contract notes and bring the discrepancies of your notice within 24 hours of such issuance of contract notes , my/ our non-verification or not accessing the contract on regular basis shall not be a reason for disputing the contract note at any time.

This instruction to issue digital contract note is applicable with immediate effect.

I/We also acknowledge the upload of contract notes/bills, statement of accounts/ledgers and other statements/ documents for trading on NSE on your website w ww.pfslindia.co.in. I /We hereby agree and confirm to verify the information/documents that are sent on email and/or upload on the said website regularly and the same shall be deemed to be received by me/us (vide SEBI circular ref.No. SEBI/SMD/SE/15/2003/29/04 dated April 29, 2003, regarding issuance of contract notes/bills, statements of ledger/accounts in digital/electronic form and also to disseminate the same on the website for easy access to the Investor)

I/We understand that I am required to intimate any change in the email id / email account mentioned herein above needs to be communicated by me through a physical letter to you, provided however that if I/we am/are an internet client then in that event the request for change in email id/ email account can be made by me/ us through a secured access using client specific user id and password. Please treat this authorization as written ratification of my /our verbal directions / authorizations given and carried out by you earlier. I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my/our directions given above

Thanking you,
Yours faithfully,

 13

Signature

Client Name :

[Note : To be signed by person himself/herself not to be signed by his/her attorney/ Authorized person etc.]

PRABHAT FINANCIAL SERVICES LIMITED

Member : National Stock Exchange of India Limited, Bombay Stock Exchange Limited
SEBI REGN. NO. INB 230885231, INF 230885231, INB 010885235

D P : Central Depository Services (India) Limited. SEBI REGN NO. IN-DP-CDSL-216-2003



Corporate Office : 205, Navjeevan Complex, 29 Station Road, JAIPUR-302006 Phone : +91-141-4028368 / 69 / 70
Fax : +91-141-4028371 Mobile : 93148 84111 E-mail : pfsindia@hotmail.com Website : www.pfsindia.co.in

Date : ____ / ____ /20 ____

To,
Prabhat Financial Services Ltd.
213, Navjeevan Complex,
29 Station Road, Jaipur - 302006

Re. : Beneficial Owner (BO) Account No. 12031400 00_____.

I/We _____ had entered into agreement dated _____ with you at the time of opening of the aforesaid BO account.

Pursuant to the amendment in clause 3 of the agreement (Annexure C to the Bye Laws of CDSL), I/We confirm having opted to receive the statement of accounts pertaining to the above mentioned BO account in electronics mode in lieu of physical copy of the statement of account.

I/We confirm that the dispatch of statement of account to me/us at the following email address shall constitute full and absolute discharge of your obligation under the above agreement to provide me/us with statement of my/our BO account. But, I/We reserve my/our right to receive the physical copy of statement of account despite receiving the same in electronic mode if such a demand is made in writing on you.

[Email address: _____]

I/We confirm that any change in the aforesaid email address or any other instructions with regard to dispatch/service of my/our statement of account on me/us shall not be binding upon you unless you are intimated in writing by me/us by acknowledged delivery.

Yours faithfully

Name/s of the Beneficial owner/s
(all joint holders)

Signature/s

1. _____  _____

2. _____  _____

3. _____  _____

PRABHAT FINANCIAL SERVICES LIMITED

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SEBI REGN. NO. INB 230885231, INF 230885231, INB 010885235

D P : Central Depository Services (India) Limited. SEBI REGN NO. IN-DP-CDSL-216-2003



Corporate Office : 205, Navjeevan Complex, 29 Station Road, JAIPUR-302006 Phone : +91-141-4028368 / 69 / 70
Fax : +91-141-4028371 Mobile : 93148 84111 E-mail : pfsindia@hotmail.com Website : www.pfsindia.co.in

Terms and Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.

Signature _____

REGD OFFICE. : "Satyam", 4/276, Parwati Bagla Road. **Kanpur** - 208 002 Ph. 3073793-94-95, 2541838 Fax : 2290347
BRANCH OFFICE : Second Floor, J-42 Saket, **New Delhi** - 110017 Ph. 011-64546119 Fax : +91-11-41764794

Signature

8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable).

BOID

1	2	0	3	1	4	0	0										
(Please write your 8 digit DPID)								(Please write your 8 digit Client ID)									

Sole / First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which messages are to be sent

+91																	
(Please write only the mobile number without prefixing country code or zero)																	

The mobile number is registered in the name of : _____

E-mail ID : _____
(Please write only one valid email ID on which communication; if any, is to be sent)

Signature ¹⁵ _____
Sole / First Holder
Second Holder
Third Holder

Place : _____ Date : _____

Voluntary Document

In case of an individual client on plain paper and in case of a non-individual client on letter head of client.

M/s Prabhat Financial Services Limited
205, Navjeevan Complex
29, Station Road
Jaipur-302006 (Rajasthan)

Subject: SMS and Email Alerts by Stock Exchange.

Dear Sir,

I/We wish to avail facility of SMS and email alerts which Stock Exchanges shall send for detail of transactions done by me/us. I/We are giving here below my/our email address and mobile number.

Email: _____

Mobile No.: _____

Please do the needful to enable me/us to receive Sms and email alerts sent by Stock Exchanges.

Thanking you,

Yours faithfully.

Client Code:

Date :
Place: